

<b>FACSIMILE TRANSMITTAL FORM</b>	Application Number	10/668098
	Filing Date	September 18, 2003
	First Named Inventor	Rosenflanz, Anatoly Z.
	Art Unit	1755
	Examiner Name	Karl E. Group
Fax: 571-273-8300	Attorney Docket Number	58961US002
Total Number of Pages in This Submission: <u>4</u>		
Date: <u>September 30, 2005</u> Attorney for Applicant: Gregory D. Allen		

<b>ENCLOSURES (check all that apply)</b>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Amendment Transmittal	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Appeal Communication to Technology Center (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosures:
<input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR § 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts under 35 USC 371 in US Designated/ Elected Office (DO/EO/US)	<input type="checkbox"/> Request for Refund	
	<input type="checkbox"/> Request for Continued Examination (RCE) Transmittal	
<input type="checkbox"/> Drawings	<input type="checkbox"/> After Allowance Communication to Technology Center	
<b>REMARKS:</b>		

THE INFORMATION CONTAINED IN THIS FACSIMILE TRANSMISSION  
MAY CONTAIN CONFIDENTIAL OR LEGALLY PRIVILEGED INFORMATION  
INTENDED ONLY FOR THE PERSON OR ENTITY NAMED BELOW.

If you are not the intended recipient, please do not read, use, disclose, distribute or copy this transmission.  
If this transmission was received in error, please immediately notify me by telephone directly at 651-736-0641 or  
651-733-1500, and we will arrange for its return at no cost to you.

RECEIVED  
CENTRAL FAX CENTER

32692

Customer Number

SEP 30 2005

Patent  
Case No.: 58961US002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: ROSENFLANZ, ANATOLY Z.  
Application No.: 10/666098 Group Art Unit: 1755  
Filed: September 18, 2003 Examiner: Karl E. Group  
Title: CERAMICS COMPRISING AL<sub>2</sub>O<sub>3</sub>, Y<sub>2</sub>O<sub>3</sub>, ZrO<sub>2</sub> AND/OR HfO<sub>2</sub>, AND  
Nb<sub>2</sub>O<sub>5</sub> AND/OR Ta<sub>2</sub>O<sub>5</sub> AND METHODS OF MAKING THE SAME

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]	
I hereby certify that this correspondence is being:	
<input type="checkbox"/>	deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
<input checked="" type="checkbox"/>	transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.
Date	Signature
September 30, 2005	Jessica J. Nolan
	Signed by: Jessica Nolan

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Application No.: 10/666098

Case No.: 58961US002

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

September 29, 2005  
Date

By:

Gregory D. Allen, Reg. No.: 35,048  
Telephone No.: 651-736-0641

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Page 1 of 1

Application Number	10/666098
Filing Date	September 18, 2003
First Named Inventor	Rosenflanz, Anatoly Z.
Art Unit	1755
Examiner Name	Karl E. Group
Attorney Case Number	58961US002

**U.S. Patent Documents**

Exam Init.*	Cite No.	Document Number		Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)				
	A1	US-	2,206,081	07-02-1940	Eberlin	
	A2	US-	2,805,166	09-03-1957	Loffler	
	A3	US-	3,947,281	03-30-1976	Bacon	
	A4	US-	4,530,909	07-23-1985	Makishima et al.	
	A5	US-	5,104,319	04-14-1992	Evans et al.	
	A6	US-	5,693,239	12-02-1997	Wang et al.	
	A7	US-	5,733,564	03-31-1998	Lehtinen	
	A8	US-	5,804,513	09-08-1998	Sakatani et al	
	A9	US-	6,146,244	11-14-2000	Atsugi et al.	
	A10	US-	6,245,700 B1	06-12-2001	Budd et al.	
	A11	US-	6,335,083 B1	01-01-2002	Kasai et al.	
	A12	US-	6,361,414 B1	03-26-2002	Ravkin et al.	
	A13	US-	6,461,988 B2	10-08-2002	Budd et al.	
	A14	US-	6,484,539 B1	11-26-2002	Nordine et al.	
	A15	US-	6,511,739 B2	01-28-2003	Kasai et al.	
	A16	US-	6,514,892 B1	02-04-2003	Kasai et al.	

**Foreign Patent Documents**

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-Kind Code (if known)				
	B1						
	B2						

**OTHER DOCUMENTS**

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.